



USPTO PCT/PTO 14 APR 2006

PCT

Practitioner's Docket No. **PATENT 2003DE111**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Frank Osterod et al.

Application No.: 10/553,819

Filed: October 19, 2005

For: Easily Dispersible Pigments with Fast Colour Intensity Development

**Commissioner for Patent**

**P.O. Box 1450**

**Alexandria, VA 22313-1450**

**INFORMATION DISCLOSURE STATEMENT**

**CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\***  
(When using *Express Mail*, the *Express Mail* label number is *mandatory*;  
*Express Mail* certification is *optional*.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**37 C.F.R. section 1.8(a)**

**37 C.F.R. section 1.10\***

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Address"  
Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

☐ transmitted by facsimile to the Patent and Trademark Office (571) 273-8300.

Date:

April 11, 2006

Signature

Jackie L. Wise

Jackie L. Wise

(type or print name of person certifying)

**\*WARNING:**

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).  
"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

10/553,819

Applicant Frank Osterod, et al.

Serial No. 10/554,819

Page 2

KR

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

Applicant Frank Osterod, et al.

Serial No. 10/554,819

Page 3

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.

Respectfully submitted,



Anthony A. Bisulca

Registration No. 40,913

**CUSTOMER NO. 25,255**

Clariant Corporation  
Industrial Property Department  
4000 Monroe Road  
Charlotte, North Carolina 28205  
Telephone: 704/331-7151  
Facsimile: 704/331-7707

FORM PTO-1449  
U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(37 CFR 1.98(b))

ATTY. DOCKET NO.  
2003DE111

SERIAL NO.  
10/553,819

APPLICANT: Frank Osterod et al.

FILING DATE:  
10/19/2005

GROUP:

U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,679,148	10/2/1997	Bugnon			
	AB	20020086916	7/4/2002	Morrison et al.			
	AC	5,990,202	11/23/1999	Nguyen et al.			
	AD	20030177943	9/25/2003	Auweter, Helmut et al.			
	AE	6,92,035	8/2/2005	Auwetr, Helmut et al.			
	AF	6,485,558	11/26/2002	Metz et al.			
	AG						
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA	EP 0976798	2/2/2000	EPO			English
	BB	EP 1291397	3/12/2003	EPO			English
	BC	EP 0902061	3/17/1999	EPO			English
	BD	EP 0702062	3/20/1996	EPO			English
	BE	WO 02/26892	4/4/2002	WIPO			See AD & AE above
	BF						
	BG						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

CA		PCT International Search Report for PCT/EP 2004/003760, 7/12/2004
CB		English Translation of PCT IPER for PCT/EP2004/003760, 3/23/2006
CC		PCT IPER for PCT/EP2004/003760, 11/22/2004
EXAMINER		DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		